

SELECT COMMITTEE ON INTELLIGENCE

UNITED STATES SENATE



QUESTIONNAIRE FOR COMPLETION BY
PRESIDENTIAL NOMINEES

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PART A - BIOGRAPHICAL INFORMATION

1. FULL NAME: Robin Carol Ashton
OTHER NAMES USED: Robin Ashton Millen
2. DATE AND PLACE OF BIRTH: 12/6/57 Detroit, Michigan
CITIZENSHIP: U.S.
3. MARITAL STATUS: Married
4. SPOUSE'S NAME: Yves Daniel Rosenberg
5. SPOUSE'S MAIDEN NAME IF APPLICABLE: N/A
6. NAMES AND AGES OF CHILDREN:

REDACTED

7. EDUCATION SINCE HIGH SCHOOL:

<u>INSTITUTION</u>	<u>DATES ATTENDED</u>	<u>DEGREE RECEIVED</u>	<u>DATE OF DEGREE</u>
Marshall-Wythe School of Law (College of William and Mary)	8/83-5/86	Juris Doctor	5/86
The University of Michigan	8/78-12-80	BA	12/80
Oakland University	8/76-5/78	None	N/A

8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT).

<u>EMPLOYER</u>	<u>POSITION/TITLE</u>	<u>LOCATION</u>	<u>DATES</u>
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Sears Surplus, store clerk, Laurel, Maryland; approximately 4/82-11/82

Oakland County Circuit Court, Research Law Clerk, Pontiac, Michigan, summer 1984

Office of Administrative Law Judges, Department of Labor, Attorney, Washington, DC, 1986-1988

Antitrust Division, Litigation II Section, Department of Justice, Trial Attorney, Washington, DC, 1988 to 1991

United States Attorney's Office for the District of Columbia, Department of Justice, Assistant United States Attorney Washington, DC, 1991 to 2001

Executive Office for U.S. Attorneys, Department of Justice, Deputy Director, Principal Deputy Director, Acting Director, Washington, DC, October 2001 to June 2005

U.S. Senate, Office of Senator Patrick Leahy, Senate Judiciary Committee, Staff Attorney, Washington, DC, June 2005 to August 2006

U.S. Attorney's Office for the District of Columbia, Department of Justice, Executive Assistant U.S. Attorney for Management, Washington, DC, August 2006 to January 2011

Office of Professional Responsibility, Department of Justice, Director, Washington, DC, January 2011 to September 2018

Office of the Inspector General of the Intelligence Community, Office of the Director of National Intelligence, Principal Deputy Inspector General, Reston, VA, September 2018 to May 2020

Strategic Planning and Performance Staff, Department of Justice, Senior Counsel, Washington, DC, May 2020 to present

9. **GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8).**

All government jobs have been listed in response to Question number 8.

10. **INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR 9.**

I served as the Principal Deputy Inspector General of the Intelligence Community (ICIG) for nearly two years. During my tenure with the ICIG, I reported directly to and advised the Presidentially-appointed, Senate-confirmed Inspector General on all areas of the ICIG mission. Specifically, my responsibilities included:

- Managing a workforce of special agents, auditors, inspectors, attorneys, and support staff whose mission is to promote economy, efficiency, and effectiveness in the administration of programs and activities within the authority of the Director of National Intelligence and the 17 departments and agencies comprising the U.S. Intelligence Community.
- Assisting in managing all aspects of the ICIG's operations, including human capital, resource and budget allocations, information technology improvements, and legislative and public affairs.
- Supervising criminal and administrative investigations related to counterintelligence matters, procurement fraud, unauthorized disclosures of classified information, intelligence oversight abuses, and other potential

violations of laws and regulations, including joint investigations with other law enforcement authorities and Offices of Inspector General.

- Overseeing the planning, supervision, coordination, and performance of audits, inspections, and investigations.
- Participating in the Intelligence Community Inspectors General Forum, which consists of twelve Inspectors General with oversight responsibility for elements of the Intelligence Community.
- Working with the Five Eyes Intelligence Oversight and Review Council, composed of intelligence oversight, review, and security entities of the Five Eyes countries: Australia, Canada, New Zealand, the United Kingdom, and the United States, to exchange views and share best practices on subjects of mutual interest and concern.
- Engaging regularly with the Director of National Intelligence and the Congressional intelligence committees to ensure appropriate awareness of matters before the ICIG.
- Assisting in drafting and responding to Congressional inquiries and letters; providing technical drafting input on pending legislation, as appropriate; and preparing for Member briefings.
- Assisting in creating and supervising the operations of the ICIG's Center for Protected Disclosures, which is the office responsible for receiving, processing, and reviewing whistleblower complaints, including allegations of "urgent concern" under the Intelligence Community Whistleblower Protection Act.
- Serving as the Chair of the Intelligence Community Deputy Inspectors General Forum, which consists of Deputy Inspectors General from the twelve Offices of Inspector General with oversight responsibility for elements of the Intelligence Community.

In addition, while working at the U.S. Attorney's Office for the District of Columbia, I was at one point assigned to the federal Transnational and Major Crimes Unit, where I was responsible for investigating, presenting to the grand jury, and handling to disposition several matters that involved national security issues, including violent threats against Members of U.S. Congress, threats against national landmarks, and other important matters.

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT).

Department of Justice Attorney General's Claudia J. Flynn Award for Professional Responsibility, 2013
Department of Justice Attorney General's Award for Excellence in Management, 2010
United States Attorney's Award for Meritorious Service, 2010
United States Attorney's STAR Award, 2007 (USAO-DC's highest award)
The Department of Justice Executive Office for U.S. Attorneys' Director's Award for Executive Achievement, 2004
Multi-year Special Achievement Awards received from the U.S. Attorney's Office for the District of Columbia

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS).

<u>ORGANIZATION</u>	<u>OFFICE HELD</u>	<u>DATES</u>
Virginia State Bar	None.	1986-present
District of Columbia Bar	None.	1994-present

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, BLOGS AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES OR REMARKS YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT, TRANSCRIPT, OR VIDEO). IF ASKED, WILL YOU PROVIDE A COPY OF EACH REQUESTED PUBLICATION, TEXT, TRANSCRIPT, OR VIDEO?

None.

PART B - QUALIFICATIONS

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE AS THE INSPECTOR GENERAL OF THE CENTRAL INTELLIGENCE AGENCY).

In addition to the specific, specialized intelligence and national security expertise I discussed in response to Question 10, the experience I have acquired throughout my almost 35-year career in public service makes me uniquely qualified to serve as the Inspector General of the Central Intelligence Agency.

I was privileged to work for almost twenty years at the U.S. Attorney's Office (USAO) for the District of Columbia, first as an Assistant U.S. Attorney (AUSA), and later as a senior manager. As an AUSA, I handled numerous District of Columbia Superior Court felony jury trials, and numerous jury trials and investigations in federal district court. I personally conducted and directly supervised hundreds of grand jury investigations (including crimes of violence, federal criminal offenses, economic crimes, and several sensitive police misconduct investigations). In addition, while serving as a Senior AUSA in the Appellate Division, I briefed and argued numerous appellate cases before the District of Columbia Court of Appeals and the United States Court of Appeals for the D.C. Circuit.

While at the USAO, I also served in various supervisory capacities, including as the Executive AUSA for Management. In this front office management role, my responsibilities included managing the administrative, personnel, and budget staffs in an office consisting of more than 700 employees; serving as the USAO's management official on labor relations issues involving Merit Systems Protection Board actions, and Office of Professional Responsibility and Office of the Inspector General matters; and handling attorney issues involving pay, hiring, disciplinary actions, and professional development. Significantly, I also advised the various U.S. Attorneys (the Presidentially- or Court-appointed head of the USAO) for whom I worked on myriad issues relating to complex case preparation and strategy, public relations and community issues, ethics, attorney discipline, professional conduct matters, and law enforcement initiatives.

In addition, I spent nearly eight years as the Director of the Department of Justice Office of Professional Responsibility (OPR). In that role, I reported directly to the Attorney General and Deputy Attorney General, and was responsible for ensuring that Department of Justice attorneys performed their duties in accordance with the high professional standards expected of the nation's principal law enforcement agency. I oversaw the work of the attorneys investigating allegations of misconduct involving Department attorneys that related to the exercise of their authority to investigate, litigate, or provide legal advice, as well as allegations of misconduct by law enforcement personnel when related to allegations of attorney misconduct within the jurisdiction of OPR. I guided investigations in numerous high-profile matters to ensure prompt and appropriate identification and resolution of complex factual and legal issues; managed an extensive case review process requiring in-depth substantive knowledge of criminal and civil cases; and ensured that every report issued by OPR was professionally rendered, meticulously reviewed for accuracy, and that all salient facts were uncovered, investigated, and analyzed. These inquiries and investigations into allegations of misconduct were received from the courts, defense attorneys, state bar authorities, Congress, and DOJ component heads, and involved myriad alleged violations of federal laws, rules and regulations, as well as DOJ policies and standards. Based on these investigations, I provided advice to the Attorney General and Deputy Attorney General regarding Department of Justice policy and procedures, and recommended training or policy changes designed to address professional responsibility concerns.

In addition to working as a senior manager in the nation's largest U.S. Attorney's Office, and as the Director of OPR, I also managed other extremely large, complex offices with significant, sensitive, and often far-reaching missions. For example, I served for four years as the Principal Deputy Director, and at times the Acting Director, of the Department of Justice Executive Office for United States Attorneys (EOUSA), which provides management guidance and support to the 94 United States Attorneys' Offices (USAOs), 93 presidentially-appointed United States Attorneys, and over 10,000 employees, including over 5,000 Assistant United States

Attorneys. In that role, I provided advice on critical policy issues, program considerations, and initiatives impacting the USAOs nationwide. I personally managed the top supervisors for several EOUSA staffs and offices, including: the Office of the General Counsel; Office of Legal Education; Counsel to the Director; Equal Employment Opportunity Office; Evaluation and Review staff; and the FOIA staff.

In many of the positions I have held during my career, I have had the opportunity to work closely with Congress. For example, while at the Office of Professional Responsibility, I responded to Congressional inquiries and provided Congressional briefings relating to professional responsibility and ethical issues concerning Department of Justice attorneys. In addition, I had the opportunity to work as a staff attorney with the Senate Judiciary Committee while detailed from the U.S. Attorney's Office to Senator Patrick Leahy's office. In that role, I was given the opportunity to work closely with Republican and Democratic Committee staff members as we negotiated, drafted, and researched legislation dealing with a wide range of criminal justice issues under consideration by the Committee. I also had the honor of assisting in researching and preparing briefing and hearing materials for the Committee regarding the Supreme Court nominations of John Roberts and Samuel Alito. And finally, while at the Office of the Inspector General of the Intelligence Community, I worked closely with Congressional staffers, both Republican and Democrat, on the U.S. House Permanent Select Committee on Intelligence and the U.S. Senate Select Committee on Intelligence, on numerous issues relating to the Intelligence Community, including whistleblower matters. All of these opportunities to work with Congress on so many different issues have proved tremendous learning experiences.

I believe that my deep and broad investigation, litigation, prosecution, budget, management, leadership, and other experience has made me a better lawyer and public servant, and make me well-qualified to serve as the Inspector General of the Central Intelligence Agency, if I am so fortunate as to be confirmed.

PART C - POLITICAL AND FOREIGN AFFILIATIONS

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO, ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS).

ACTBLUE MD	11/14/2020	\$100.00
BIDEN FOR PRESIDENT	10/25/2020	\$100.00
ACTBLUE MD	10/25/2020	\$100.00
BIDEN FOR PRESIDENT	10/07/2020	\$100.00
ACTBLUE MD	10/07/2020	\$100.00
BIDEN FOR PRESIDENT	09/30/2020	\$100.00
ACTBLUE MD	09/30/2020	\$100.00
BIDEN FOR PRESIDENT	09/17/2020	\$150.00
ACTBLUE MD	09/17/2020	\$150.00
BIDEN FOR PRESIDENT	08/28/2020	\$100.00
ACTBLUE MD	08/28/2020	\$100.00
BIDEN FOR PRESIDENT	08/17/2020	\$46.31
BIDEN FOR PRESIDENT	08/16/2020	\$100.00
ACTBLUE MD	08/12/2020	\$100.00
ACTBLUE MD	07/30/2020	\$150.00
BIDEN FOR PRESIDENT	07/30/2020	\$150.00
ACTBLUE MD	06/30/2020	\$100.00
BIDEN FOR PRESIDENT	06/30/2020	\$100.00
ACTBLUE	05/30/2020	\$150.00
ACTBLUE	05/30/2020	\$15.00
BIDEN FOR PRESIDENT	05/30/2020	\$150.00
BIDEN FOR PRESIDENT	04/15/2020	\$300.00
DSCC	10/17/2016	\$250.00

DNC SERVICES CORP./DEM. NAT'L COMMITTEE	05/10/2016	\$200.00
DNC SERVICES CORP./DEM. NAT'L COMMITTEE	05/05/2016	\$250.00

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE).

None.

17. FOREIGN AFFILIATIONS

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE UNITED STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)

A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No.

B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No.

C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH, A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS.

No.

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY.

None.

PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED.

None known.

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASE EXPLAIN.

No. If I am confirmed by the Senate and become the Inspector General of the Central Intelligence Agency, there may come a time when I and/or my staff will have to confer with the Department of Justice during the normal course of business.

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION. PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

None.

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

I have no plans to leave government service, and I have no plans, agreements, or understandings regarding possible future employment in the private sector.

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS.

No.

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

My spouse is employed. The nature of his employment is not related to the position for which I am seeking confirmation.

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

<u>NAME OF ENTITY</u>	<u>POSITION</u>	<u>DATES HELD</u>	<u>SELF OR SPOUSE</u>
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None.

27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

None.

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED.)

See Part 6 of my Nominee Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e), forwarded separately by OGE to the Committee, for complete information.

29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.)

<u>NATURE OF OBLIGATION</u>	<u>NAME OF OBLIGEE</u>	<u>AMOUNT</u>
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None.

30. ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN

REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS.

No.

- 31. LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED DURING THE LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS, RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200. (COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)**

REDACTED

- 32. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS?**

Yes.

- 33. LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.**

Maryland and Federal

- 34. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.**

No.

35. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE.

My entire legal career has been spent as a federal government attorney. I have never billed any clients or customers for attorney or other services during that entire time, and specifically, in the past five years.

I am a member of the Virginia and District of Columbia Bars.

36. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES, PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

N/A

37. IF APPLICABLE, LIST THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE REPORTS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT. IF ASKED, WILL YOU PROVIDE A COPY OF THESE REPORTS?

If asked, I will provide a copy of prior Public Financial Disclosure Reports (OGE Form 278e) that I have filed with the Department of Justice and while serving on detail with the ICIG, including those I have filed for the past three years.

PART E - ETHICAL MATTERS

38. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A COMPLAINT TO, ANY COURT, ADMINISTRATIVE AGENCY, PROFESSIONAL ASSOCIATION, DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PLEASE PROVIDE DETAILS.

No.

39. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL, STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PLEASE PROVIDE DETAILS.

No.

40. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PLEASE PROVIDE DETAILS.

No.

41. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS.

No. I have not in my personal capacity been a party in interest in an administrative agency proceeding or civil litigation.

I will note, however, that at different times during my career when I served in senior leadership positions – including when I served as the Principal Deputy Inspector General of the Intelligence Community (ICIG), as the Director of the Office of Professional Responsibility, the Principal Deputy Director of the Executive Office for U.S. Attorneys, and the Executive Assistant U.S. Attorney for Management for the U.S. Attorney's Office for the District of Columbia – I on occasion acted on behalf of the ICIG and the Department of Justice in various personnel or administrative proceedings. These were not trials or court proceedings; rather, I acted for the ICIG or the Department of Justice in terms of finding or mediating solutions to employees' concerns or complaints.

42. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PLEASE PROVIDE DETAILS.

No, not in my personal capacity. However, I will note that in my prior capacity as the Principal Deputy Inspector General of the Intelligence Community, I assisted the former Inspector General of the Intelligence Community in compiling and providing to Congress documents and information regarding significant matters. All documents and information provided to Congress regarding such matters were provided under the Inspector General's name on behalf of the Office of the Inspector General of the Intelligence Community. In addition, please see my response to Question #41.

43. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PLEASE PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.)

No.

44. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PLEASE PROVIDE DETAILS.

No.

PART F - SECURITY INFORMATION

45. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN IN DETAIL.

No.

46. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASE EXPLAIN.

Yes. I took and passed a polygraph examination before I started my job in September 2018 as the Principal Deputy Inspector General of the Intelligence Community.

47. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN.

No.

PART G - ADDITIONAL INFORMATION

48. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S. INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE INSPECTOR GENERAL OF THE CENTRAL INTELLIGENCE AGENCY AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS, RESPECTIVELY, IN THE OVERSIGHT PROCESS.

As mentioned in some detail above, during my almost 35-year career as a public servant with the federal government, I have had many opportunities to work with Congress – while on detail from the Department of Justice to Senator Patrick Leahy’s office, in my job as the Principal Deputy Director of the Executive Office for U.S. Attorneys, and when I was the Director of the Office of Professional Responsibility. Each of these opportunities enhanced my respect for, and increased my understanding of, Congress’ important oversight role throughout the federal government.

However, it was during my recent tenure as the Principal Deputy Inspector General of the Intelligence Community that I came to truly understand and appreciate the crucial need for Congressional oversight in the Intelligence Community. Because the work of the Intelligence Community, generally, and the Central Intelligence Agency, specifically, must, by necessity, operate largely outside of the public’s view, Congressional oversight becomes increasingly important. The American people must have confidence that while effectively carrying out its vital mission, the Central Intelligence Agency is being held accountable and is adhering to the very highest standards we all expect of it. The Congressional intelligence committees play a critical role in instilling this confidence by fulfilling their oversight obligation to ensure that the operations, programs, and activities of the Central Intelligence Agency are carried out ethically, honestly, and in accordance with law, established policies, and in a manner that reflects our nation’s values. By assuring the American people that, while engaged in activities essential to our national security and defense, the Central Intelligence Agency is being held accountable, Congress through its oversight activities, acts in the public’s interest. By holding classified briefings, scrutinizing relevant paperwork and documents, questioning Central Intelligence Agency leaders and others, Congress is able to fulfill its vital oversight role on behalf of the American people.

The Inspector General of the Central Intelligence Agency also plays an important role with regard to this oversight responsibility. Because of my recent tenure in the Office of Inspector General of the Intelligence Community, I am very familiar with the obligations and responsibilities of the Inspector General of the Central Intelligence Agency, as set forth in 50 U.S.C. § 3517. Specifically – and among numerous other responsibilities enumerated by this statute – the Inspector General has a duty to keep the Director of the Central Intelligence Agency “fully and currently informed about problems and deficiencies” and “the necessity for and the progress of corrective actions” relating to the administration of the Central Intelligence Agency’s programs and operations. The Inspector General must also ensure that the Senate Select Committee on Intelligence and the House Permanent Select Committee on Intelligence “are kept similarly informed of significant problems and deficiencies as well as the necessity for and the progress of corrective actions....” In addition, the statute

delineates specific instances that obligates the Inspector General of the Central Intelligence Agency to notify, and submit reports to, the Congressional intelligence committees so that Congress can fulfill its oversight obligation.

In addition to the duties and responsibilities specifically set forth in 50 U.S.C. § 3517, I believe that it is imperative that the Inspector General of the Central Intelligence Agency establish and maintain a productive, cooperative, and professional relationship with the employees within the Office of the Inspector General, and with the many other people and entities who have crucial oversight roles, as well. These include the Director of the Central Intelligence Agency, the Director of National Intelligence, and the Congressional intelligence committees. These parties share with the Inspector General numerous mutual goals, many overlapping responsibilities, and the desire to make the Central Intelligence Agency as effective as possible, so that its employees can continue to conduct their incredibly important work in the most ethical, efficient, and safest way possible. The employees of the Central Intelligence Agency deserve this, as does the American public.

An effective Inspector General must maintain strong working relationships with these crucially important partners both inside and outside of the Central Intelligence Agency, and ensure that each is treated with respect, listened to, and engaged and consulted with, in a direct, honest, and professional manner. Accomplishing the shared goal of achieving and maintaining the most efficient, productive, and effective intelligence operations, and thereby protecting our national security, can only be realized if the responsibility is shared. If I am confirmed as the Inspector General of the Central Intelligence Agency, I will work with the Congressional intelligence committees in a respectful and productive manner, and will strive to ensure that they receive salient information in a timely fashion so that they can effectively conduct their significant and indispensable oversight responsibilities. I will also work with all other partners to ensure that communication and information sharing is constructive and productive.

49. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE INSPECTOR GENERAL OF THE CENTRAL INTELLIGENCE AGENCY.

The Inspector General of the Central Intelligence Agency has numerous statutorily enumerated responsibilities, as set forth at 50 U.S.C. § 3517. These responsibilities include, among others, creating an objective and effective Inspector General's Office, being appropriately accountable to Congress, and initiating and conducting independent inspections, investigations, and audits relating to the programs and operations of the Central Intelligence Agency. At all times, due care must be taken to protect intelligence sources and methods in the preparation of all reports issued, and to comply with generally accepted government auditing standards. The Inspector General must provide clear direction for how the inspections, investigations, and audits relating to the programs and operations of the Central Intelligence Agency will be planned, conducted, supervised, and coordinated, to ensure they are conducted efficiently and in accordance with applicable law and regulations. In addition, the Inspector General must provide leadership and guidance to ensure that all policies promote economy, efficiency, and effectiveness in the administration of the Central Intelligence Agency's programs and operations, and to ensure that fraud and abuse are detected and prevented in such programs and operations. The Inspector General must keep the Director of the Central Intelligence Agency fully and currently informed about problems and deficiencies relating to the administration of Central Intelligence Agency programs and operations, and the necessity for and progress of corrective actions; and ensure that the Senate Select Committee on Intelligence and the House Permanent Select Committee on Intelligence are kept similarly informed of significant problems and deficiencies, as well as the necessity for and the progress of corrective actions.

The Inspector General must report to the Attorney General any information, allegation, or complaint received that relates to violations of federal criminal law involving a program or operation of the Central Intelligence Agency, and provide a copy of all such reports to the Director of the Central Intelligence Agency. The Inspector General must report immediately to the Director of the Central Intelligence Agency whenever she becomes aware of particularly serious or flagrant problems, abuses, or deficiencies relating to the administration of programs or operations, and follow all statutory rules for handling urgent concerns, including when and how to report such matters to the Director of the Central Intelligence Agency and to Congress. In addition, the Inspector General must submit to the Director of the Central Intelligence Agency timely and sufficiently detailed

semiannual reports. With regard to all reporting responsibilities, the Inspector General must comply with the specific requirements set forth at 50 U.S.C. § 3517.

As with all federal Inspectors General, the Inspector General of the Central Intelligence Agency must work toward detecting, preventing, and helping to eliminate waste, fraud, corruption, mismanagement, and abuses of authority relating to the programs and activities they oversee. When audits, investigations, inspections, or reviews reveal possible wrongdoing of any type, Inspectors General are often the first to receive this information, and they must immediately communicate those issues to appropriate leadership and begin to make recommendations to address those problems. I believe that the Office of Inspector General's findings and recommendations should be clear, well-founded, and carefully analyzed, and should be actionable and effective. My approach to oversight will include developing and fostering strong relationships with the Director of the Central Intelligence Agency and other members of the leadership team, the Central Intelligence Agency's workforce, the Director of National Intelligence, and Congress to ensure that I manage my responsibilities in a manner that is as open, inclusive, direct, and transparent as possible.

I respect and admire the people of the Central Intelligence Agency, and their integrity, professionalism, and selfless commitment to their crucial mission. I will work with them to highlight the Central Intelligence Agency's myriad strengths while also identifying areas that could benefit from modification or improvement. I believe that the Director of the Central Intelligence Agency, its other employees and contractors, the Director of National Intelligence, Congress, and I all share the desire to enhance, wherever and whenever possible, the Central Intelligence Agency's operations by eliminating all waste, fraud, corruption, mismanagement, and abuses of authority. If confirmed, I will work in a pragmatic, cooperative, and constructive manner with all of these crucial partners so that we can address together any significant problems or deficiencies that come to light.

One of the many significant responsibilities of the Inspector General of the Central Intelligence Agency is to ensure that the Central Intelligence Agency has a strong and effective whistleblower program. Particularly in an agency such as the Central Intelligence Agency, which necessarily operates largely in secret, it is imperative that those on the ground, who are quite possibly the first people to observe wasteful or even unlawful activities, be provided a secure, protected, and effective means of disclosing their concerns or observations without fear of reprisal or intimidation. It is essential that whistleblowers be respected as important partners in the oversight process. If confirmed as the Inspector General of the Central Intelligence Agency, I will take the steps needed to determine whether the Central Intelligence Agency's whistleblower program is sufficiently strong and robust to not only inform people how to lawfully disclose information, but to encourage whistleblowers to come forward with such information. I will also take the requisite steps to ensure that policies are in place to protect whistleblowers from reprisal, or threat of reprisal, if they do come forward in an authorized manner and disclose in good faith allegations of wrongdoing. Whistleblowers are not the enemies, and hiding, burying, or refusing to see a problem does not solve that problem; the delay in addressing the problem simply allows it to fester, replicate, or grow deeper roots.

Working cooperatively with the other Inspectors General throughout the Intelligence Community is also an important responsibility for the Inspector General of the Central Intelligence Agency. For example, active participation in the Inspectors General Forum, which is comprised of the twelve Inspectors General with oversight responsibility for elements of the Intelligence Community, facilitates discussion of shared concerns and challenges, analysis of lessons learned and effective solutions implemented, and an exploration of opportunities to work together to ameliorate or address some of the thorniest issues being faced in the Intelligence Community; such opportunities might include proposing to the Congressional intelligence committees new legislation or legislative modifications to existing legislation.

Because I was fortunate to have served recently as the Principal Deputy Inspector General of the Intelligence Community, I am very familiar with and understand the complexity, significance, and multi-faceted quality of the responsibilities and demands I would assume should I be confirmed as the Inspector General of the Central Intelligence Agency. One of the most important responsibilities is to ensure that the Office of the Inspector General itself is run efficiently, that its employees are performing to their highest ability, that they feel included and respected, and that they are equipped with the necessary tools to do their jobs effectively. I would take steps to ensure that the employees have the appropriate training, support, and mentoring that they deserve, desire, and require. Having worked with many people in the Central Intelligence Agency and throughout the Intelligence

Community, I know that they are always striving to accomplish even more than they have accomplished previously, and if I can help in that laudable goal, I will. I am also committed – just as they have shown themselves to be – to demonstrating personal integrity, professionalism, and an unwavering commitment to the search for truth each and every day.

The Inspector General must encourage a culture in which people feel empowered to speak freely and honestly amongst themselves and to the Inspector General about what they are discovering through their audits, investigations, evaluations, and reviews. And they must feel confident that the Inspector General will in turn deal with those findings in an open and honest way, and make recommendations concerning those findings that are pragmatic, reasonable, and designed not to tear down but to build up and improve the Central Intelligence Agency's effectiveness and efficiency. They must feel confident that no findings will be inappropriately ignored or hidden, but will be analyzed and addressed in a professional and pragmatic manner.

The Inspector General must respect the multiple reporting requirements she has to fulfill, and report findings to the appropriate parties, whether the Director of the Central Intelligence Agency, the Director of National Intelligence, and/or the Congressional oversight committees. Those parties should be viewed as partners in the effort to detect, prevent, and eliminate fraud, waste, abuse of authority, wrongdoing, or illegal activity; the Inspector General must include them fully and directly so that solutions to problems can be found, systemic changes recommended and implemented when warranted, and appropriate steps taken to prevent problems from festering, continuing, or multiplying.

The Inspector General must also not shy away from speaking truth to power – even when that process can be difficult at times. Difficult conversations, however, are not conversations to be avoided, and they are not an end point. In fact, such discussions are the crucial starting point in the process of solving problems. I believe that difficult discussions about identified problems can be conducted in a non-accusatory way that encourages innovative thinking about ways to move forward. Recognizing that dedicated career professionals share the common desire to make an agency the very best it can be often makes even the most difficult discussions not only productive, but invigorating and motivating.

The position of Inspector General is not only multi-faceted and multi-layered, complex, and demanding. It is also one of the most important positions in the federal government. Through the efforts of Inspectors General and their staffs, and the efforts of their many partners, waste, fraud, and abuse, as well as mismanagement, abuses of authority, and unlawful practices, can be and are detected and prevented, and systemic solutions can be and are found. These efforts help deliver to the American people a government that is as effective, productive, and efficient as possible. That is what the American people want; that is what they deserve; and that is what they should have. The Inspector General's responsibility – one that I will accept wholeheartedly should I be so fortunate as to be confirmed as the Inspector General of the Central Intelligence Agency – is to deliver on those rightful demands.

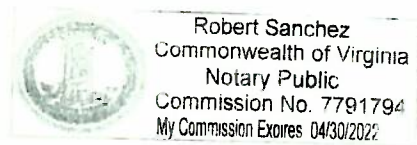
AFFIRMATION

I, **ROBIN CAROL ASHTON**, DO SWEAR THAT THE ANSWERS I HAVE PROVIDED TO THIS QUESTIONNAIRE ARE ACCURATE AND COMPLETE.

April 23, 2021
(Date)

ROBIN ASHTON SIGNATURE

NOTARY SIGNATURE



TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be the Inspector General of the Central Intelligence Agency, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.

ROBIN ASHTON SIGNATURE

Date: April 23, 2021